

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: To Be Assigned  
Applicant: Kenji Muraki, et al.  
Filed: Herewith  
Title: RECORDING APPARATUS, RECORDING METHOD,  
RECORDING MEDIUM AND PROGRAM (as amended)  
TC/A.U.: To Be Assigned  
Examiner: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) WO 01/93264
- 2) 2001-229612
- 3) WO 02/11140
- 4) 2000-501875
- 5) 11-075169

References 1) - 3) and 5) include an English language abstract. Reference 2) also includes an a "concise explanation" under 37 CFR § 1.98(a)(3) as set forth in the specification at page 4, line 11.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

*Daniel N. Calder*

Daniel N. Calder, Reg. No. 27,424  
Attorney for Applicant

DNC/dmw

Enclosures: PTO Form 1449  
(6) References

Dated: July 13, 2005

P.O. Box 980  
Valley Forge, PA 19482  
(610) 407-0700

The Commissioner for Patents is hereby  
authorized to charge payment to Deposit  
Account No. **18-0350** of any fees associated  
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*Kathleen Libby*  
Kathleen Libby

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Substitute for Form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number

To Be Assigned

10/541997

Filing Date

Herewith

First Named Inventor

Kenji Muraki, et al.

Art Unit

To Be Assigned

Examiner Name

To Be Assigned

Attorney Docket No.

MTS-3564US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 01/93264 A1 (with English abstract)	12/06/2001	SONY CORPORATION		<input type="checkbox"/>
		JP 2001-229612 A (with English abstract)	08/24/2001	MATSUSHITA ELECTRIC IND CO LTD		<input type="checkbox"/>
		WO 02/11140 A1 (with English abstract)	02/07/2002	SONY CORPORATION		<input type="checkbox"/>
		JP 2000-501875 A	02/15/2000			<input type="checkbox"/>
		JP 11-075169 A (with English abstract)	03/16/1999	SONY CORPORATION		<input type="checkbox"/>
						<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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SHEET 2 of 2

Complete if Known

Application Number	To Be Assigned	10/541997
Filing Date	Herewith	
First Named Inventor	Kenji Muraki, et al.	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	
Attorney Docket No.	MTS-3564US	

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		"Amendment 3 to SDMI Portable Device Specification, Part I, Version 1.0", published at <a href="http://www.sdmi.org/download/port_device_spec_amend3.pdf">http://www.sdmi.org/download/port_device_spec_amend3.pdf</a> , Document No.: SDMI-01051710a, Amsterdam, dated May 17, 2001.	<input type="checkbox"/>
		International Search Report for PCT/JP2004/000189, dated April 13, 2004.	<input checked="" type="checkbox"/>
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Examiner  
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Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

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